

Appendix 2

KYC FORM-Customer File

Customer's Name: _____

Account No: _____ Tel: _____

Gender: _____ Marital Status: _____ Religion: _____

Date of Birth: _____ Nationality _____

E-mail _____

Address (Home) _____

Occupation / Profession _____

Name of Company _____

Address(Office) _____

Expected Annual Income _____

Source of funds

Individual: Salaries Business Income Sale of Property Others

Corporate: Trading Relating Others

Others sources of income apart from occupation:

Investments Dividends

Who introduced you to the stockbroking firm? _____

Customer Signature: _____ Customer Signature: _____

Date: __/__/____ Date: __/__/____

For Office Use

I ----- the Relationship Officer of -----who is a prospective customer of the stockbroking firm do hereby certify that I have carried out relevant verification to establish the identity, address and nature of business of the customer and his/her eligibility as an Account Holder with the firm.

I also certify that the applicant(s) (prospect) is engaged in (nature of business) -----

It shall be my responsibility to continuously monitor the account holder(s) the account activity and inform the AGM / BM, if at any point in time, there is (are) profound change(s) in the standing of the account holder(s) and or suspicious transaction as required by statutory regulation.

Name & signature of Account Officer----- Date-----

Name & Signature of BM /AGM-----Date-----