

**Appendix 2**

KYC FORM-Customer File

Customer's Name: \_\_\_\_\_

Account No: \_\_\_\_\_ Tel: \_\_\_\_\_

Gender: \_\_\_\_\_ Marital Status: \_\_\_\_\_ Religion: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ Nationality \_\_\_\_\_

E-mail \_\_\_\_\_

Address (Home) \_\_\_\_\_

Occupation / Profession \_\_\_\_\_

Name of Company \_\_\_\_\_

Address(Office) \_\_\_\_\_

Expected Annual Income \_\_\_\_\_

**Source of funds**

Individual:  Salaries  Business Income  Sale of Property  Others

Corporate:  Trading  Relating  Others

Others sources of income apart from occupation:

Investments  Dividends

Who introduced you to the stockbroking firm? \_\_\_\_\_

Customer Signature: \_\_\_\_\_ Customer Signature: \_\_\_\_\_

Date: \_\_/\_\_/\_\_\_\_ Date: \_\_/\_\_/\_\_\_\_

**For Office Use**

I ----- the Relationship Officer of -----who is a prospective customer of the stockbroking firm do hereby certify that I have carried out relevant verification to establish the identity, address and nature of business of the customer and his/her eligibility as an Account Holder with the firm.

I also certify that the applicant(s) (prospect) is engaged in (nature of business) -----

It shall be my responsibility to continuously monitor the account holder(s) the account activity and inform the AGM / BM, if at any point in time, there is (are) profound change(s) in the standing of the account holder(s) and or suspicious transaction as required by statutory regulation.

Name & signature of Account Officer----- Date-----

Name & Signature of BM /AGM-----Date-----